

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Date: \_\_\_\_\_

To: SJ Securities Sdn Bhd  
Level 3 Holiday Villa  
No. 9 Jalan SS/1  
47500 Subang Jaya  
Selangor Darul Ehsan

Dear Sirs,

**OVERSEAS INVESTMENT DECLARATION - NO DOMESTIC BORROWINGS**

I/We, \_\_\_\_\_, (NRIC No./ Company No.: \_\_\_\_\_) hereby declare that as at \_\_\_\_\_, I/We **do not have any domestic borrowings<sup>1</sup>** as defined by Bank Negara's foreign exchange administration rules which has the following meaning:

Ringgit advance, loan, trade financing, hire purchase, factoring, leasing facilities, redeemable preference shares or similar facility **(including share margin facility)** in whatever name or form, other than:

- Trade credit terms extended by a supplier for all types of goods & services;
- Forward exchanges contracts entered with authorized dealers;
- One personal housing loan and one vehicle loan obtained locally;
- Credit card and charge card facilities;
- Inter company borrowing within a corporate group;<sup>2</sup>

I/We accept and agree that it is my/our responsibility to inform you in the event my/our domestic borrowings or financial circumstances alter or change in such manner that I/We am /are no longer eligible to invest abroad and I/We hereby undertake to so inform you and keep you informed regarding any change in my/our domestic borrowings or financial circumstances that may affect my/our eligibility to invest abroad.

I/We understand that it is my/our responsibility to submit my/our foreign investments to Bank Negara as prescribed by Bank Negara foreign exchange administration rules and shall undertake to do so.

Yours faithfully,

Witness:

\_\_\_\_\_  
Name:  
I/C No:  
Company Chop/Authorised Signatory(ies)  
Company No:  
\_\_\_\_\_

\_\_\_\_\_  
Name of Remisier/Dealer  
I/C No:

<sup>1</sup> Borrowings are to include borrowings on a corporate group basis

<sup>2</sup> Corporate group basis refers to holdings companies and subsidiaries in Malaysia with 50% shareholding

Name of Applicant : \_\_\_\_\_  
 NRIC/Passport No/Registration No : \_\_\_\_\_  
 Date : \_\_\_\_\_

SJ Securities Sdn Bhd (SJ Securities)  
 Level 3 Holiday Villa  
 No 9 Jalan SS12/1  
 Subang Jaya  
 47500 Selangor Darul Ehsan

Dear Sir,

Re : Covenants and Undertakings for Foreign Stocks Trading

I/We hereby:

1. Agree that a trading account be opened or an existing trading account with SJ Securities be maintained for the purpose of trading of foreign stocks;
2. Agree that all foreign stocks purchased or sold shall be credited or debited through SJ Securities's omnibus CDP account and/or other foreign stock trading account that SJ Securities may open and maintain from time to time;
3. Undertake to abide by all laws relating to my/our foreign stocks trading including but not limited to the rules and regulations of the respective foreign stock exchange and any by-laws thereto now in force or from time to time amended, revised or supplemented;
4. Agree that the settlement date for trades shall be as per the respective foreign stock exchanges' settlement system;
5. Agree that all purchases shall be paid upfront as no contra is allowed. All payments shall be made in Ringgit Malaysia;
6. Agree that value of the contract shall be in Ringgit Malaysia based on respective foreign currency exchange rate;
7. Agree to pay all charges that may be imposed by SJ Securities in relation to foreign stocks trading;
8. Agree that if any clause provided herewith is held invalid or unenforceable the invalidity of such clause shall in no way affect the validity of any other clauses;
9. Indemnify that SJ Securities shall not be liable from all claims and demands, actions and proceedings, losses and expenses and all other liabilities derived from foreign stocks transaction;
10. Agree that the terms and conditions herein shall be submitted together with the Overseas Investment Declaration; and
11. Agree that provisions herein shall be an addition and not a substitution of the terms and conditions contained in the trading account form.

.....  
 Applicant

.....  
 Witnessed by Dealer Representative

**FOR OFFICE USE ONLY**

Signature Verified By  
 Form Checked By  
 Data Updated By  
 Data Verified by

Name	Initial	Date

**Certificate of Foreign Status of Beneficial Owner  
for United States Tax Withholding**

OMB No. 1545-1621

► Section references are to the Internal Revenue Code. ► See separate instructions.  
► Give this form to the withholding agent or payer. Do not send to the IRS.

**Do not use this form for:**

- A U.S. citizen or other U.S. person, including a resident alien individual . . . . . W-9
- A person claiming that income is effectively connected with the conduct of a trade or business in the United States . . . . . W-8ECI
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (see instructions for exceptions) . . . . . W-8ECI or W-8IMY
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession that received effectively connected income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (see instructions) . . . . . W-8ECI or W-8EXP

**Note:** These entities should use Form W-8BEN if they are claiming treaty benefits or are providing the form only to claim they are a foreign person exempt from backup withholding.

- A person acting as an intermediary . . . . . W-8IMY

**Note:** See instructions for additional exceptions.

**Part I Identification of Beneficial Owner (See instructions.)**

<b>1</b> Name of individual or organization that is the beneficial owner		<b>2</b> Country of incorporation or organization																
<b>3</b> Type of beneficial owner: <table style="width: 100%; border: none;"><tr><td><input type="checkbox"/> Individual</td><td><input type="checkbox"/> Corporation</td><td><input type="checkbox"/> Disregarded entity</td><td><input type="checkbox"/> Partnership</td><td><input type="checkbox"/> Simple trust</td></tr><tr><td><input type="checkbox"/> Grantor trust</td><td><input type="checkbox"/> Complex trust</td><td><input type="checkbox"/> Estate</td><td><input type="checkbox"/> Government</td><td><input type="checkbox"/> International organization</td></tr><tr><td><input type="checkbox"/> Central bank of issue</td><td><input type="checkbox"/> Tax-exempt organization</td><td><input type="checkbox"/> Private foundation</td><td colspan="2"></td></tr></table>				<input type="checkbox"/> Individual	<input type="checkbox"/> Corporation	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Partnership	<input type="checkbox"/> Simple trust	<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Complex trust	<input type="checkbox"/> Estate	<input type="checkbox"/> Government	<input type="checkbox"/> International organization	<input type="checkbox"/> Central bank of issue	<input type="checkbox"/> Tax-exempt organization	<input type="checkbox"/> Private foundation		
<input type="checkbox"/> Individual	<input type="checkbox"/> Corporation	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Partnership	<input type="checkbox"/> Simple trust														
<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Complex trust	<input type="checkbox"/> Estate	<input type="checkbox"/> Government	<input type="checkbox"/> International organization														
<input type="checkbox"/> Central bank of issue	<input type="checkbox"/> Tax-exempt organization	<input type="checkbox"/> Private foundation																
<b>4</b> Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.																		
City or town, state or province. Include postal code where appropriate.		Country (do not abbreviate)																
<b>5</b> Mailing address (if different from above)																		
City or town, state or province. Include postal code where appropriate.		Country (do not abbreviate)																
<b>6</b> U.S. taxpayer identification number, if required (see instructions) <div style="text-align: right;"><input type="checkbox"/> SSN or ITIN   <input type="checkbox"/> EIN</div>		<b>7</b> Foreign tax identifying number, if any (optional)																
<b>8</b> Reference number(s) (see instructions)																		

**Part II Claim of Tax Treaty Benefits (if applicable)**

- 9** I certify that (check all that apply):
- a ☐ The beneficial owner is a resident of ..... within the meaning of the income tax treaty between the United States and that country.
  - b ☐ If required, the U.S. taxpayer identification number is stated on line 6 (see instructions).
  - c ☐ The beneficial owner is not an individual, derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits (see instructions).
  - d ☐ The beneficial owner is not an individual, is claiming treaty benefits for dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation, and meets qualified resident status (see instructions).
  - e ☐ The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(b), and will file Form 8833 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.
- 10** Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article ..... of the treaty identified on line 9a above to claim a ..... % rate of withholding on (specify type of income): .....  
Explain the reasons the beneficial owner meets the terms of the treaty article: .....

**Part III Notional Principal Contracts**

- 11** ☐ I have provided or will provide a statement that identifies those notional principal contracts from which the income is not effectively connected with the conduct of a trade or business in the United States. I agree to update this statement as required.

**Part IV Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- 1 I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates,
  - 2 The beneficial owner is not a U.S. person,
  - 3 The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, and
  - 4 For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.
- Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

**Sign Here**

Signature of beneficial owner (or individual authorized to sign for beneficial owner)      Date (MM-DD-YYYY)      Capacity in which acting